



PATENT  
Docket No.312762002400

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*U.S.P.*  
Irina Britva

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Norimitsu SAITO and Ming ZHAO

Serial No.: 09/734,786

Filing Date: December 11, 2000

For: METHODS FOR INTRODUCING  
GENES INTO MAMMALIAN  
SUBJECTS

Examiner: To be Assigned

Group Art Unit: 1645

TRANSMITTAL

BOX SEQUENCE  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Attached hereto for filing are the following:

1. Response to Notice to comply
2. Copy of Notice to comply
3. Paper copy of the Sequence Listing
4. A computer readable form copy of the Sequence Listing on  
CD-R (CRF COPY)  
-Machine format: IBM PC COMPATIBLE  
-Operating system: PC-DOS/MS-DOS

-File contained on CD: 3127620024.txt  
-Date recorded: April 16, 2002

5. Postcard

In the unlikely event that the Patent Office determines that extensions and/or other relief is required, applicant petition for any required relief including extensions of time and authorize the Assistant Commissioner to charge the cost of such petitions and/or fees due to our Deposit Account No. 03-1952 under Order No. 312762002400. The Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Respectfully submitted,

Dated: April 17, 2002

By: Kate H. Murashige  
Kate H. Murashige  
Registration No. 29,959

Morrison & Foerster LLP  
3811 Valley Centre Drive, Suite 500  
San Diego, CA 92130-2332  
Telephone: (858) 720-5112  
Facsimile: (858) 720-5125



Docket No.: 31276-20024.00	Atty: Kate H. Murashige
Serial No.: 09/734,786	Filing Date: December 11, 2000
Title: METHODS FOR INTRODUCING GENES INTO MAMMALIAN SUBJECTS	
Date of Mailing: April 17, 2002	

Papers enclosed herewith:

1. Transmittal (2 pages);
2. Response to Notice to comply (6 pages);
3. Copy of Notice to comply (1 page);
4. Sequence listing - paper copy (2 pages);
5. Sequence listing - computer readable form (CRF copy) (1 CD-R);
6. Return postcard.

Docket No.: 31276-20024.00	Atty: Kate H. Murashige
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MORRISON & FOERSTER  
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PTO/SB/21 (08-00)  
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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	09/734,786	
	Filing Date	December 11, 2000	
	First Named Inventor	Norimitsu SAITO	
	Group Art Unit	1636	
	Examiner Name	D. Sullivan	
Total Number of Pages in This Submission	20	Attorney Docket Number	312762002400

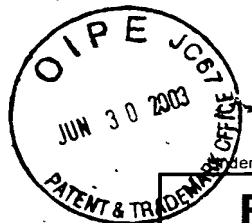
**ENCLOSURES (check all that apply)**

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input checked="" type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input checked="" type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below)
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Copy of Response filed April 17, 2002 Return Postcard
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	Remarks	

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual Name	MORRISON & FOERSTER LLP Kate H. Murashige - 29,959
Signature	<i>Kate H. Murashige</i>
Date	June 25, 2003

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: Mail Stop AF, Commissioner for Patents, P.O. Box 1450, Alexandria, VA, 22313, on the date shown below.  
Dated: 6/25/03 Signature: Tami Procopia (Tami Procopia)



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<b>FEE TRANSMITTAL</b> <b>for FY 2003</b> <i>Patent fees are subject to annual revision.</i>		<b>Complete if Known</b>	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Application Number	09/734,786
<b>TOTAL AMOUNT OF PAYMENT</b> (\$) 160.00		Filing Date	December 11, 2000
		First Named Inventor	Norimitsu SAITO
		Examiner Name	D. Sullivan
		Group Art Unit	1636
		Attorney Docket No.	312762002400

<b>METHOD OF PAYMENT</b> (check all that apply)	
<input type="checkbox"/> Check	<input type="checkbox"/> Credit Card
<input type="checkbox"/> Money Order	<input type="checkbox"/> Other
<input checked="" type="checkbox"/> Deposit Account	
Deposit Account Number	03-1952
Deposit Account Name	Morrison & Foerster LLP
The Commissioner is hereby authorized to: (check all that apply)	
<input checked="" type="checkbox"/> Charge fee(s) indicated below	<input checked="" type="checkbox"/> Credit any overpayments
<input checked="" type="checkbox"/> Charge any additional fee(s) during the pendency of this application	
<input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee	
to the above-identified deposit account.	

<b>FEE CALCULATION</b>				
<b>1. BASIC FILING FEE</b>				
Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description
1001	2001	750	375	Utility filing fee
1002	2002	330	165	Design filing fee
1003	2003	520	260	Plant filing fee
1004	2004	750	375	Reissue filing fee
1005	2005	160	80	Provisional filing fee
<b>SUBTOTAL (1)</b> (\$)				0.00

<b>2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE</b>				
Total Claims	** =	Extra Claims	Fee from below	Fee Paid
Independent Claims	** =			
Multiple Dependent				
Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description
1202	2202	18	9	Claims in excess of 20
1201	2201	84	42	Independent claims in excess of 3
1203	2203	280	140	Multiple dependent claim, if not paid
1204	2204	84	42	** Reissue independent claims over original patent
1205	2205	18	9	** Reissue claims in excess of 20 and over original patent
<b>SUBTOTAL (2)</b> (\$)				0.00
**or number previously paid, if greater. For Reissues, see above				

<b>3. ADDITIONAL FEES</b>				
Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description
1051	2051	130	65	Surcharge - late filing fee or oath
1052	2052	50	25	Surcharge - late provisional filing fee or cover sheet
1053	1053	130	130	Non-English specification
1812	1812	2,520	2,520	For filing a request for <i>ex parte</i> reexamination
1804	1804	920*	920*	Requesting publication of SIR prior to Examiner action
1805	1805	1,840*	1,840*	Requesting publication of SIR after Examiner action
1251	2251	110	55	Extension for reply within first month
1252	2252	410	205	Extension for reply within second month
1253	2253	930	465	Extension for reply within third month
1254	2254	1,450	725	Extension for reply within fourth month
1255	2255	1,970	985	Extension for reply within fifth month
1401	2401	320	160	Notice of Appeal
1402	2402	320	160	Filing a brief in support of an appeal
1403	2403	280	140	Request for oral hearing
1451	2451	1,510	1,510	Petition to institute a public use proceeding
1452	2452	110	55	Petition to revive - unavoidable
1453	2453	1,300	650	Petition to revive - unintentional
1501	2501	1,300	650	Utility issue fee (or reissue)
1502	2502	470	235	Design issue fee
1503	2503	630	315	Plant issue fee
1460	2460	130	130	Petitions to the Commissioner
1807	2807	50	50	Processing fee under 37 CFR 1.17(q)
1806	2806	180	180	Submission of Information Disclosure Stmt
8021	8021	40	40	Recording each patent assignment per property (times number of properties)
1809	2809	750	375	Filing a submission after final rejection (37 CFR 1.129(a))
1810	2810	750	375	For each additional invention to be examined (37 CFR 1.129(b))
1801	2801	750	375	Request for Continued Examination (RCE)
1802	2802	900	900	Request for expedited examination of a design application
Other fee (specify)				
*Reduced by Basic Filing Fee Paid				<b>SUBTOTAL (3)</b> (\$) 160.00

<b>SUBMITTED BY</b>		<b>Complete (if applicable)</b>	
Name (Print/Type)	Kate H. Murashige	Registration No. (Attorney/Agent)	29,959
Signature	<i>Kate H. Murashige</i>	Telephone	(858) 720-5112
		Date	June 25, 2003

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